TIFICATE OF MAILING

I certify that this document is being deposited with the U.S. Postal Service as First Class Mail deposited of the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

Date: 4 6 2004

Signature of Person Mailing Correspondence

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Applicant(s):

David S. Bonalle

Docket No.:

60655.9900

Serial No.:

10/708,836

Group Art Unit:

TBA

Filed:

March 26, 2004

Examiner:

TBA

Title:

METHOD AND SYSTEM

Confirmation No.:

2835

FOR RETINAL SCAN

RECOGNITION

BIOMETRICS ON A FOB

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner For Patents PO Box 1450 Alexandria, VA 22313-1450

Commissioner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R., §§1.97 and 1.98, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents listed on the attached Form PTO/SB/08A. One copy of each cited document is submitted herewith. Applicant respectfully submits that all pending claims are patentable over the foregoing references, alone or in combination. The Examiner is requested to initial the enclosed Form PTO/SB/08A and return a copy thereof to the undersigned.

The items listed on Form PTO/SB/08A may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

Serial No.: 10/708,836 Docket No.: 60655.9900

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute any of the listed documents as prior art during examination. Further, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. Furthermore, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

- 1. [] For each of the following items listed on the enclosed copy of Form PTO/SB/08A that is not in the English language, an English language translation of that item or a portion thereof or a concise explanation of the relevance of that item is enclosed:
- 2. [X]Any copy of the items listed on the enclosed copy of Form PTO/SB/08A that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in the prior [] Parent Application, [] Continuation, [] Divisional or [X] Continuation-In-Part application under 37 C.F.R. §1.97, U.S. Serial No. __10/192,488_, filed _July 9, 2002_.
- 3. [X]No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:
 - [X] 37 C.F.R. §1.97(b)(1), within three months of the filing date of the above-identified application.
 - [] 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491 in an international application.
 - [] 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action on the merits.
- 4. [] No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 3 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 8 below.

1497483.1

Serial No.: 10/708,836 Docket No.: 60655.9900

5. []	since specif	is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement it is being filed in compliance with 37 C.F.R. §1.97(c), after the period fied in paragraph 3 above but before the mailing date of a final action or a of allowance (where there has been no prior final action):					
	[]	A check in the amount of \$180.00 is enclosed in payment of the fee.					
	[]	Charge the fee to Deposit Account No. 19-2814. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.					
6. []	since of a fi	is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statemen it is being filed in compliance with 37 C.F.R. §1.97(d), after the mailing date hal action or a notice of allowance, whichever comes first, but before ent of the issue fee, and is accompanied by:					
	a.	one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 8 below; and					
	b.	the attached petition requesting consideration of this Information Disclosure Statement; and					
	C.	the fee due under 37 C.F.R. §1.17(i)(1) which is paid as set forth in paragraph 9 below.					
7.[]	A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statemer since it is being filed in compliance with:						
	a. []	37 C.F.R. §1.313(b)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition To Withdraw Application From Issue;					
	b. []	37 C.F.R. §1.313(b)(5), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition To Withdraw Application From Issue.					
	C.	The fee due under 37 C.F.R. §1.17(i)(1) is paid as set forth in paragraph 9 below.					
8. []	Disclo	by certify that each item of information contained in this Information sure Statement was cited in a communication from a foreign patent office bunterpart foreign application not more than three months prior to the filing Information Disclosure Statement.					
[]		by certify that no item of information in the Information Disclosure nent filed herewith was cited in a communication from a foreign patent					

1497483.1

Serial No.: 10/708,836 Docket No.: 60655.9900

office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

- 9. [] A check in the amount of \$180.00 is enclosed in payment of the fee due under 37 C.F.R. §1.17(p).
 - [] Charge the fee due under 37 C.F.R. §1.17(i)(1) to Deposit Account No. 19-2814. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
 - [X]The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 19-2814. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

Snell & Wilmer

Dated:

Howard I Sobelman

Registration No. 39,038

Snell & Wilmer L.L.P. One Arizona Center 400 E. Van Buren

Phoenix, Arizona 85004-2202

Phone: (602) 382-6228 Fax: (602) 382-6070

Email: hsobelman@swlaw.com

1497483.1

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of |

Complete if Known							
Application Number	10/708,836						
Filing Date	March 26, 2004						
First Named Inventor	David Bonalle						
Art Unit	твр						
Examiner Name	TRD TRD						
Attorney Docket Number	60655.9900						

			U.S. PATE	ENT DOCUMENTS		
Examiner Initials*	Cite No.1	Document Number Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US-				
		US-				
		US-				
		US-	·			
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-		· · · · · · · · · · · · · · · · · · ·		
		US-				
		US-	•		-	
		US-	•			
· · · · · · · · · · · · · · · ·		US-	·.			
· · · · · · · · · · · · · · · · · · ·	·	US-		· · · · · · · · · · · · · · · · · · ·		
		US-				
		US-			·	
		US-				
		US-	•13	·		

			·-	FOR	EIGN PATENT DO	CUMENTS		!
Evaminar	Cite	Foreign Patent Document			Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Examiner Initials	No. ¹	Office ³	Number ⁴	Kind ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	Le
		CH	CH689070		08/31/1998	Peter Bresch		
		EP	0 358 525	A2	03/14/1990	W&T Avery Limited		
	· · · · · · · · · · · · · · · · · · ·	EP	0 424 726	A1	10/10/1990	Angewandte Digital Elec.		
		PCT	WO 99/03057	A1	01/21/1999	Robert E. Dorf	_	
		EP	EP 0 933 717	A2	08/04/1999	Citicorp Development Center		
		EP	EP 0 956 818	A1	11/17/1999	Citicorp Development Center		
		EP	EP 0 959 440	A2	11/24/1999	Citicorp Development Center		
		JP	2000-1109	Α	01/14/2000	Citicorp Development Center		
		JP	2000015288	Α	01/18/2000	Hitachi Plant Eng & Constr		
		ЛР	2000-40181	Α ·	02/08/2000	Unknown		

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Sub	stitute for form 1449A/P	то		Complete if Known			
I N i		u Dic		Application Number	10/708,836		
	FORMATION			Filing Date	March 26, 2004		
S	TATEMENT	BY A	PPLICANT	First Named Inventor	David Bonalle		
	(Art Unit	TBD		
	(use as many sh	ieets as	necessary)	Examiner Name	TBD		
She	et 2	of	11	Attorney Docket Number	60655.9900		

			U.S. PAT	ENT DOCUMENTS	
Examiner Initials	Cite No. ¹	Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-			,
		US-		t .	
		US-			
		US-	·		
		US-		·	
		US-		·	·
		US-			

				FOR	EIGN PATENT DO	CUMENTS		
Examiner	Cite	Foreign Patent Document			Publication Date	Name of Detentes or	Pages, Columns, Lines,	T
Initials"	No. ¹	Office ³	Number ⁴	Kind ⁵ (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	Le.
·		PCT	WO 00/10144	A1	02/24/2000	3M Innovative Properties Co		
		JP	200067312	A	03/03/2000	IBM		
		EP	EP 0 984 404	A2	03/08/2000	IBM		
		PCT	WO 00/38088	A1	06/29/2000	John Klayh		
·		EP	EP 1 016 947	A2	07/05/2000	Texas Instruments Inc.		
		JP	2000207641	Α	07/28/2000	NCR International Inc		
		EP	EP 1 039 403	A2 ·	09/27/2000	Citicorp Development Center		
		JР	2001-5931	A	01/12/2001	Unknown		
		PCT	WO 01/04825	A1	01/18/2001	Intermec IP Corp.		
		PCT	WO 01/15098	Al	03/01/2001	Gamut Interactive		

Examiner	Date	
Signature	Considered)

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. The Inter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Substitu	te for form 1449A	/PTO		Complete if Known		
				Application Number	10/708.836	
			SCLOSURE	Filing Date	March 26, 2004	
STA	TEMENT	BY	APPLICANT	First Named Inventor	David Bonalle	
				· Art Unit	TBD	
	(use as many	sneets a	s necessary)	Examiner Name	TBD	
Sheet	3	of	11	Attorney Docket Number	60655.9900	

				U.S. PATE	ENT DOCUMENTS		
Examiner	Cite	Document Number		Publication Date	Name of Patentee or	Pages, Columns, Lines, Where	
Initials*	No. ¹	Number	Kind Code	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
		US-					
		US-					
		US-					
		US-					
		US-					
		US-			·		
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					

				FOR	EIGN PATENT DO	CUMENTS		
Evaminar	Cite		Foreign Patent Do	cument	Publication Date	Name of Detaute	Pages, Columns, Lines,	T
Examiner Initials	No.1	Office	Number ⁴	Kind ^s (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	76
		PCT	WO 01/15098	A1	03/01/2001	Gamut Interactive		\top
		EP	EP 1 104 909	A2	06/06/2001	Smartel S.P.A.		
		PCT	WO 01/43095	A2	06/14/2001	Intermec IP Corp.		
		EP	EP 1 113 387	A2	07/04/2001	Schlumberger Systemes		1
		PCT	Wo 01/72224	A1	10/04/2001	Wolf Fernlund		
		JP	2001283122	Α	10/12/2001	Dainippon Printing Co., Ltd.		1
		PCT	WO 01/77856	A1	10/18/2001	Brightstreet.com Inc.		1
		PCT	WO 01/80473	A2	10/25/2001	Sony Electronics, Inc.		
		PCT	WO 01/86535	A1	11/15/2001	Cadmus Technology Ltd.		1
		PCT	WO 01/90962	A1	11/29/2001	Grosvenor Leisure Inc.		

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Substitu	te for form 1449A/P	то		Complete if Known			
18157		N DIC		Application Number	10/708,836		
			CLOSURE		March 26, 2004		
STA	TEMENT	BY AI	PPLICANT	First Named Inventor	David Bonalle		
				Art Unit	TBD		
	(use as many sl	heets as r	iecessary)	Examiner Name	TBD		
Sheet	4	of		Attorney Docket Number	60655.9900		

				U.S. PATE	ENT DOCUMENTS		
Examiner Cite		Document Number		Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant	
Initials*	No. ¹	Number	Kind Code (if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear	
		US-				· · · · · · · · · · · · · · · · · · ·	
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
	•	US-					
		US-			·		
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					

		,		FOR	EIGN PATENT DO	CUMENTS		•
Evaminos	Cito		Foreign Patent Do	cument	Publication Date	Name of Patentee or	Pages, Columns, Lines,	
Examiner Initials*	Cite No. ¹	Office ³	Number ⁴	Kind ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	Le
		PCT	WO 01/95243	A2	12/13/2001	Battelle Memorial Institute		
		PCT	WO 02/01485	A1	01/03/2002	Smartclic Corporation		
		PCT	WO 02/13134	A2	02/14/2002	Medmicrochip LC		
		PCT	WO 02/21903	A1	03/21/2002	Mario Witte		
		EP	EP 1 199 684	A2	04/24/2002	Marconi Commerce Systems		
		PCT	WO 02/063545	A2	08/15/2002	Sensormatic Electronics Corp		
		PCT	WO 02/065246	A2	08/22/2002	Stockback Holdings, Inc.		
		PCT	WO 02/065404	A2	08/22/2002	Stuart Williams		
		PCT	WO 02/069221	A1	09/06/2002	Frequency Marketing, Inc.		
		PCT	WO 02/073512	Al	09/19/2002	3M Innovative Properties Co.		

		1
Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. The Indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

	Substitut	e for form 1449A/I	РТО		С	Complete if Known			
	INIEC		N DIC		Application Number	10/708,836			
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Filing Date	March 26, 2004					
			First Named Inventor	David Bonalle	•				
			Art Unit	TBD	· ·				
		(use as many s	sheets as n	ecessary)	Examiner Name	TBD			
(5	Sheet	5	of	11	Attorney Docket Number				

		·			J.S. PATENT DOCL	JMENTS	
Examiner Initials*	Cite No. ¹	Nu	ocument Number Imber Kind Code (if known)	Publication MM-DD-	n Date Na YYYY Applica	me of Patentee or ant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
***		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		บร-					
		US-					
		US-					
	•	US-					
		US-					
		US-					
		US-				·	
		US-					
	·	US-					
		US-					
		US-	,				
	-	US-					· · · · · · · · · · · · · · · · · · ·
				FOF	REIGN PATENT DO	CUMENTS	
			Foreign Patent D	·			Pages, Columns, Lines,
Examiner Initials*	Cite No. ¹	Office		Kind ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee of Applicant of Cited Docu	Where Relevant
		EP	EP 1 251 450	A1	10/23/2002	NCR International Inc.	
		PCT	WO 02/086665	A2	10/31/2002	United States Postal Serv	rice
	-	PCT	WO 02/091281	A2	11/14/2002	Outsite Networks Inc.	

Examiner	Cite		Foreign Patent Do	cument	Dublication Data	No. 10	Pages, Columns, Lines,	T
Initials*	No.1	Office	³ Number ⁴	Kind ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	Le
		EP	EP 1 251 450	A1	10/23/2002	NCR International Inc.		1
		PCT	WO 02/086665	A2	10/31/2002	United States Postal Service		1
		PCT	WO 02/091281	A2	11/14/2002	Outsite Networks Inc.		+
		PCT	WO 02/097575	A2	12/05/2002	American Express TRS Co.		1
		PCT	WO 02/101670	A2	12/19/2002	3M Innovative Properties		
·								
								<u> </u>
		 						

Examiner Signature Date Considered			
Signature Considered	Examiner	Date	
	Signature	Considered	Á

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. The Interest of the Interest of the Interest of the Interest of the Standard ST. The Interest of the Inter

PTO/SB/08B (08-03)
Approved for use through 06/30/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute	for form 1449B/	РТО		Complete if Known			
INFORMATION DISCUSSIONE				Application Number	10/708,836	· · · · · · · · · · · · · · · · · · ·	
INFORMATION DISCLOSURE				Filing Date	March 26, 2004	·	
STA	STATEMENT BY APPLICANT		First Named Inventor	David Bonalle			
				Art Unit	TBD	•	
	(use as man	y sheets as	necessary)	Examiner Name	TBD		
Sheet	6	of	11	Attorney Docket Number	60655.9900	·········	

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner nitials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
		"What's New: Timex Watch Features Speedpass System", http://www.speedpass.com/news/article.jsp?id=51 (1 page)	
		"Physical Reality: A Second Look", Ken Sharp, Senior Technical Editor, http://www.idsystems.com/reader/1999_03/phys0399_pt2/phys0399_pt2.htm (6 pages)	-
		"Magic Wands' to Speed Mobile Sales", BobBrewin, Jan. 15, 2001, http://www.computerworld.com/mobiletopics/mobile/story/1,10801,563300.html (4 pages)	
1111111111		"Mobile Speedpass Goes GLobal as Mobil Singpaore Rolls Out Asia's First RFID-Based Pay-At-The-Pump System", Press Release, April 5, 1999, http://www.ti.com/tiris/docs/news_releases/rel12.htm (3 pages)	
		"Speedpass Unleashed", June 4, 2002, http://www.cardweb.com/cardtrak/news/cf2_20a_97.html (2 pages)	
		Prophecy Central Update #9, October 10, 1997, http://www.bible-prophecy.com/pcu9.htm (5 pages)	
		International newsletter of the TI RFID Group, Issue 20, 2000 (12 pages)	
		"CES: Microsoft's SPOT Technology has Humble Origins", by James Niccolai, January 10, 2003, http://archive.inforworld.com/articles/hn/xml/03/01/10/030110hnsport.xml?s=IDGNS (3 pages)	
		"Microsoft: See SPOT Run On Your Wrist", by Richard Shim, June 5, 2003, http://news.com.com/2100-1041_3-1013442.html?tag=fd_top (1 page)	
		"Networking: Microsoft SPOT", by Jeremy A. Kaplan, July 1, 2003, http://www.pcmag.com/print_article/0,3048,a=43561,00.asp (2 pages)	
		"Microsoft Launches Smart Personal object Technology Initiative", Press Release from COMDEX Fall 2002, November 17, 2002, http://www.Microsoft.com/presspass/features/2002/nov02/11-17SPOT.asp (4 pages)	

Examiner	• •	Date	·
Signature			
Joigh ataro		Considered	1

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08B (08-03)
Approved for use through 06/30/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitu	ite for form 1449B/	РТО		Complete if Known		
1815/		NI DIO		Application Number	10/708.836	
INT	JRIVIA I IC	אט אל	CLOSURE	Filing Date	March 26, 2004	
STA	STATEMENT BY APPLICANT			First Named Inventor	David Bonalle	
				Art Unit	TBD	
	(use as mar	ny sheets as	s necessary)	Examiner Name	TBD	
Sheet	7	of		Attorney Docket Number	60655.9900	

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS							
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²				
		"Bank Extends RFID Payment Pilot: Bank of America will continue to tests its QuickWave RFID payment card for another three months", RFID Journal, 01/23/2003					
		"MasterCard to Test RFID Card: Pilot will test whether consumers, merchants and credit card issuers value "contactless payments", RFID Journal, 12/20/2002					
		"Vendors Target Amusement Parks: Protecting children and enabling cashless payments make RFID an appealing option for the entertainment industry", RFID Journal, 11/27/2002					
3 6.1.2.		"Inside's Next-Gen Smart Card: The French company plans to introduce an RFID card that uses a 16-bit microprocessor and new encryption technology", RFID Journal, 10/29/2002					
		"Sony, Philips Creating RFID Link: Consumer electronics giants are jointly developing a new RFID standard for payments and for communication between devices", RFID Jornal, 09/17/2002					
		"Japan Gets Digital Ticket System: A national ticket seller and phone company are teaming up to create an electronic ticket", RFID Journal, 08/31/2002					
		"Security for Wireless Java: NTRU, a startup that offers security software, has released a Java version of its NTRU encryption algorithm", RFID Journal, 06/27/2002					
		"Making RFID Payments Ubiquitous: Philips and Visa want people to be able to pay for goods and services anywhere by using RFID chips embedded in the phones and other devices", RFID Journal, 06/02/2003					
		"RFID Smart Cards Gain Ground: The convenience of contactless transactions is driving widespread adoption of contactless smart cards", RFID Journal, 04/09/2003	_				
<u> </u>		"TI Embraces Prox Card Standard: Texas Instruments ISO 14443 payment platform promises faster data transfer rates and more security", RFID Journal, 03/06/2003					
		"Multiple Frequency Transponders: "Volume production of dual-band RFID chips begins", Frontline Solutions, 07/16/2003					

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitu	ute for form 1449B/P	PTO		Complete if Known		
				Application Number	10/708,836	
INF(ORMATIO	N DIS	CLOSURE	Filing Date	March 26, 2004	
STA	TEMENT	RY A	PPLICANT	First Named Inventor	David Bonalle	
	V 1 12 1V 1 12 1 V 1			Art Unit	TBD	
	(use as many	y sheets as	s necessary)	Examiner Name	TBD	
Sheet	8	of		Attorney Docket Number	60655.9900	

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	nitials* No.1 publisher, city and/or country where published.							
		Functional Specification, Standard Card IC MF1 IC S50, Philips Semiconductors, Product Specification Rev. 5.1 May 2001						
		"Biometrics: Speaker Verification", by Kulkarni, et al., http://biometrics.cse.msu.edu/speaker.html, 03/08/2004, 5 pages						
		"Judge Dismisses FTC Suit Against Rambus", Evers, IDG New Service, http://www.infoworld.com/article/04/02/18/HNjudgedismisses_1.html, 02/18/2004, 3 pages						
		"Credit on Your Key Ring, Buy Gas at Mobil, Exxon and Soon Burgers at McDonald's", by Krakow, MSNBC, http://www.msnbc.msn.com/id/3072638, 02/17/2004, 4 pages						
		"The Evolution of Mobile Payment", by McPherson, Financial Insights, 02/02/04, http://www.banktech.com./story/mews/showArticle/jhtml?article ID=17601432, 2 pages						
		"RFID Take Priority With Wal-Mart", by DocMemory, http://www.simmtester.com/page/news/shownews.asp?num=6550, 02/09/2004, 2 pages						
		"Microsoft, IBM and Phillips Test RFID Technology", by Rohde, IDG New Service, http://www.computerweekly.com/Article127889.htm, 02/09/2004, 3 pages						
		"Pay By Touch Press Releases", http://www.paybytouch.com/press.html, 02/10/2004, 3 pages						
		"PowerPay RFID Payment and Marketing Solution Speeds Purchases at Seahawks Stadium with Technology from Texas Instruments", http://www.powerpayit.com/news/Seahawks_pr.html, 02/09/2004, 2 pages						
		"Putting Their Finger on It", by Wilson, http://sanfrancisco.bizjournals.com/sanfrancisco/stories/2003/10/20/story6.html?t=printable, 02/09/2004, 2 pages						
		"TI Embraces Prox Card Standard", by Roberti, 03/06/2003, http://www.ti.com/tiris/docs/in-the-news/2003/3-6-03.shtml, 2 pages						

E	Examiner	Date	
13	Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitu	ite for form 1449B/PT	0		Complete if Known		
				Application Number	10/708,836	
INFORMATION DISCLOSURE			LOSURE	Filing Date	March 26, 2004	
STA	STATEMENT BY APPLICANT			First Named Inventor	David Bonalle	
				Art Unit	TBD	
·	(use as many :	sheets as ne	ecessary)	Examiner Name	TBD	
Sheet	9	of	11	Attorney Docket Number	60655.9900	

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"Paying It By Ear", The Guardian, 01/18/2003, http://money.guardian.co.uk/creditanddebt/creditcards/story/0,1456,876908,00.html, 3 pages	
		Pay By Touch - Company, http://www.paybytouch.com/company.html	
		"Identix Inc Empowering Identification TM - Understanding Biometrics", http://www.identix.com/newsroom/news_biometrics_face.html, 1 page	
		"International Biometric Group - Signature Biometrics: How It Works", http://www.ibgweb.com./reports/public/reports/signature-scan_tech.html, 02/18/2004, 1 page	
		"International Biometric Group - Voice Recognition Technology: How It Works", http://www.ibgweb.com/reports/public/reports/voice-scan_tech.html, 02/18/2004, 1 page	
		"The Henry Classification System", International Biometric Group, 7 pages	
		"Individual Biometrics - Hand Geometry", http://ctl.ncsc.dni.us/biomet%20web/BMHand.html, 02/18/04, 2 pages	
		"Individual Biometrics - Retinal Scan", http:ctl.ncsc.dni.us/biomet%20web/BMRetinal.html, 2/18/04, 2 pages	
		"Individual Biometrics - Iris Scan", http:ctl.ncsc.dni.us/biomet%20web/BMIris.html, 2/18/04, 2 pages	'', • <u>·</u>
		"Individual Biometrics - Vascular Patterns", http:ctl.ncsc.dni.us/biomet%20web/BMVascular.html, 02/18/04, 1 page	
		"Individual Biometrics - Fingerprint", http:ctl.ncsc.dni.us/biomet%20web/BMFingerprint.html, 2/18/04, 3 pages	

/ Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitu	ute for form 1449B/	/PTO		Complete if Known		
				Application Number	10/708,836	
INF	JRIVIA I IC	ON DIS	CLOSURE	Filing Date	March 26, 2004	-
STATEMENT BY APPLICANT				First Named Inventor	David Bonalle	•
				Art Unit	TBD	· · · · · · · · · · · · · · · · · · ·
	(use as mai	ny sheets as	necessary)	Examiner Name	TBD	
Sheet	10	of		Attorney Docket Number	60655.9900	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
		"Fingerprint Technology - Indentix Inc Empowering Identification TM - Understanding Biometrics", http://www.identix.com/newsroom/news_biometrics_finger.html, 2/18/04, 1 page					
		"Individual Biometrics - Facial Recognition", http://di.ncsc.dni.us/biomet%20web/BMfacial.html, 2/18/04, 2 pages					
		"Fingerprint Analysis - The Basics", http://www.crimtrac.gov.au/fingerprintanalysis.htm, 2/18/04, 3 pages					
<u>,</u>		"Visual Speech and Speaker Recognition", by Luettin, 06/30/2000, http://herens.idiap.ch/~luettin/luettin-thesis.bib.abs.html, 1 page					
		"Automatic Ear Recognition by Force Field Transformations", by Hurley, et al., The Institution of Electrical Engineers, 2000, pp. 7/1-7/5					
		"Everything You Need to Know About Biometrics", by Bowman, Identix Croproation, January 2000, 8 pages					
		"How Fingerprint Scanners Work", by Harris, http://doi.org/1001/1001/1001/1001/1001/1001/1001/10					
		"How Facial Recognition Systems Work", by Bonsor, http://computer.howstuffworks.com/facial-recognition.htm/printable, 2/18/04, 6 pages					
		"Biometrics: Hand Geometry", by Ross, et al., http://biometrics.cse.msu.edu/hand_geometry.html, 2/26/04, 2 pages					
		"Biometric Person Authentication: Odor", by Korotkaya, Department of Information Technology, Laboratory of Applied Mathematics, Lappeenranta University of Technology, 18 pages					
		"ISO Magnetic Stripe Card Standards", http://www.cyberd.co.uk/support./technotes/ioscards.htm, 2/9/04, 4 pages					

Examiner	Date	
Signature	Conside	red

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08B (08-03)
Approved for use through 06/30/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449B/PTO				Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	10/708,836	· · _ ·
				Filing Date	March 26, 2004	
				First Named Inventor	David Bonalle	•
				Art Unit	TBD	
				Examiner Name	TBD	
Sheet	11	of	11	Attorney Docket Number	60655.9900	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS								
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т2					
		"Smart Card Developer's Kit: Some Basic Standards for Smart Cards", http://docs/smart-card-developer-kit/ch03/033-035.html, 2/9/04, 2 pages						
Examine Signature		Date Considered						

(Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.